

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Yoshiaki Kisaka et al.

Application No.: 10/643,017

Confirmation No.: 2087

Filed: August 15, 2003

Art Unit: 2613

For: Polarization Mode Dispersion
Compensation Method And Polarization
Mode Dispersion Compensation Device

Examiner: N. M. Curs

**SUBMISSION OF CORRECTED INFORMATION
DISCLOSURE STATEMENT BY APPLICANT (FORM PTO SB/08)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby submit a Corrected Information Disclosure Statement by Applicant with the following correction to Cite No. CA:

Y. Kisaka et al., "First-and higher-order PMD tolerance of carrier-suppressed return-to-zero format with forward error correction", Technical report of IEICE, vol. 101, No. 649, p. 49-54, 2002, OSC2001-118

A copy of the original Information Disclosure Statement and Information Disclosure Statement by Applicant is enclosed for your convenience.

Accordingly, please replace the original Information Disclosure Statement by Applicant with the Corrected Information Disclosure Statement by Applicant.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 08-0750, under Order No. 5259-000030/US/01 from which the undersigned is authorized to draw.

Dated: May 18, 2007

Respectfully submitted,

By 

Timothy D. MacIntyre

Registration No.: 42,824

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

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Attorney for Applicant

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| Substitute for form 1449A/B/PTO | | Complete if Known | |
| <p align="center">CORRECTED</p> <p align="center">INFORMATION DISCLOSURE</p> <p align="center">STATEMENT BY APPLICANT</p> <p align="center"><i>(Use as many sheets as necessary)</i></p> | | Application Number | 10/643,017-Conf. #2087 |
| | | Filing Date | August 15, 2003 |
| | | First Named Inventor | Yoshiaki Kisaka |
| | | Art Unit | 2613 |
| | | Examiner Name | N. M. Curs |
| Sheet | 1 | of | 1 |
| | | Attorney Docket Number | 5259-000030/US/01 |

| U.S. PATENT DOCUMENTS | | | | | |
|--------------------------------|----------|---|--------------------------------|--|---|
| Examiner Initials ¹ | Cite No. | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | AA | 2002-89724 | 07-11-2002 | Nishimoto et al. | |
| | AB | 2004-114936 | 06-17-2004 | Sugihara et al. | |
| | AC | 2003-56159 | 03-20-2003 | Hashida et al. | |
| | AD | 2002-145787 | 10-10-2002 | Shpantzer et al. | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------------|----------|---|------------------|---|---|----------------|
| Examiner Initials ¹ | Cite No. | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | BA | JP-2002-208892, corresponding to AA above | 07-26-2002 | Fujitsu Ltd | | |
| | BB | JP-2003-224523, corresponding to AB above | 08-08-2003 | Mitsubishi Electric Corp | | |
| | BC | JP-2003-92554, corresponding to AC above | 03-28-2003 | Hashida et al. | | |
| | BD | JP-2004-511128, corresponding to AD above | 04-08-2004 | | | |
| | BE | JP-2002-337011** | 01-31-2002 | Ooi et al. | | |

** corresponds to US 2002-18286, previously submitted

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|----------|---|----------------|--|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | |
| | CA | Y. Kisaka et al., "First-and-higher-order PMD tolerance of carrier-suppressed return-to-zero format with forward error correction". Technical report of IEICE, vol. 101, No. 649, p 49-54, 2002, OSC2001-116 | | |

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INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

I hereby certify, pursuant to 37 CFR 1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in any communication

from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

A concise explanation of relevance of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

Application No.: 10/643,017

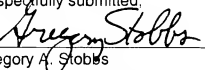
Docket No.: 5259-000030/US/01

application by this firm) to our Deposit Account No. 08-0750, under Order No. 5259-000030/US/01.

Dated: April 23, 2007

Respectfully submitted,

By



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|-----------------------|---|--|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | CA | Y. Kisaka et al., "First-and higher-order PMD tolerance of carrier-suppressed return-to-zero format with forward error correction", Technical report of IEICE, vol. 101, No. 649, p 49054, 2002, OSC2001-116 | | | |

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